

08-29-01

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications
under 37 CFR 1.53(b))

Attorney Docket No.

16313-0055

Named Inventor(s)

Luke Mankin and Bryan McKersie

Title

Self-Excising Polynucleotides and Uses Thereof

Express Mail Label No.

EL690570027US

APPLICATION ELEMENTS

Commissioner for Patents
ADDRESS TO: Box Patent Application
Washington, D.C. 20231

1. ☒ Fee Transmittal Form
(Submit an original, and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification, Claims,
and Abstract Total Pages **45**
4. ☒ Drawings Total Sheets **12**
5. Oath or Declaration Total Pages
 - a. ☐ Newly executed (original or copy)
 - b. ☐ Copy from prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 19
completed)
 - (i) ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting
inventor(s) named in the prior
application, see 37 CFR 1.63(d)(2)
and 1.33(b).
6. ☐ Incorporation by Reference
(usable if Box 5b is checked)
The entire disclosure of the prior application, from
which a copy of the oath or declaration is supplied
under Box 5b, is considered as being part of the
disclosure of the accompanying application and is
hereby incorporated by reference therein.
7. ☐ Nonpublication Request Under 35 U.S.C.
122(b)(2)(B)(i).
☐ Form PTO/SB/35
8. ☐ Application Data Sheet. See 37 CFR 1.76
9. ☐ CD-ROM or CD-R in duplicate, large table or
Computer Program (Appendix)

10. ☐ Nucleotide and/or Amino Acid Sequence
Submission (if applicable, all necessary)
 - a. ☐ Computer Readable Form (CRF)
 - b. ☐ Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ paper
 - c. ☐ Statement verifying identity of above
copies
11. ☐ Assignment:
 - a. ☐ Assignment Papers (cover sheet &
document(s))
 - b. ☐ Assignment is of record in parent
application No. [insert serial/patent
number]
12. ☐ 37 CFR 3.73(b) Statement
(when there is an assignee)
☐ Power of Attorney by assignee
13. ☐ English Translation Document (if applicable)
14. ☐ Information Disclosure Statement (IDS) PTO-
1449
☐ Copies of IDS Citations
15. ☐ Preliminary Amendment
16. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
17. ☐ Certified Copy of Priority Document(s)
18. ☐ Other: _____

19. If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information and in a preliminary amendment, or in an Application Date Sheet under 37 CFR 1.76:
- ☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No: _____
- Prior application information: Examiner: _____ Group Art Unit: _____
- Recite complete dependency back to first parent application: **This application claims priority to U.S. Provisional Patent Application Serial No. 60/227,961 filed August 25, 2000.**

20. CORRESPONDENCE ADDRESS:
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By: _____
Date: **August 27, 2001**
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Reg. No. 44,669

ATTACH CUSTOMER BAR CODE LABEL BELOW

1c857 U.S. PTO

09/940550

COPY OF PAPERS
ORIGINALLY FILED

FEE TRANSMITTAL

Attorney Docket No. 16313-0055

This sheet accompanies a patent application transmittal for the following application:

Inventor(s): **Luke Mankin and Bryan McKersie**
Filing Date: **August 27, 2001**
Title: **Self-Excising Polynucleotides and Uses Thereof**

The filing fee is calculated as shown below:

1. FILING FEE:

SMALL ENTITY			LARGE ENTITY	
FOR:	FEE	FEE PAID	FEE	FEE PAID
<input checked="" type="checkbox"/> UTILITY FILING FEE	\$355		\$710	\$710
<input type="checkbox"/> DESIGN FILING FEE	\$160		\$320	
<input type="checkbox"/> PLANT FILING FEE	\$245		\$490	
<input type="checkbox"/> REISSUE FILING FEE	\$355		\$710	
<input type="checkbox"/> PROVISIONAL FILING FEE	\$75		\$150	
SUBTOTAL (1)		\$		\$710

2. CLAIMS:

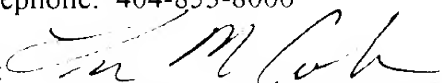
SMALL ENTITY				LARGE ENTITY	
FOR:	NO. FILED	NO. EXTRA	RATE	FEE	
TOTAL CLAIMS	79 - 20 =	59	x 9 =		\$1062
INDEP. CLAIMS	5 - 3 =	2	x 40 =		\$160
<input checked="" type="checkbox"/> MULTIPLE DEPENDENT CLAIM PRESENTED			+135 =		\$270
SUBTOTAL (2)				\$	\$1492

3. ADDITIONAL FEES:

SMALL ENTITY			LARGE ENTITY	
FOR:	FEE	FEE PAID	FEE	FEE PAID
<input type="checkbox"/> LATE FILING, FEE OR OATH	\$65		\$130	
<input type="checkbox"/> NON-ENGLISH SPECIFICATION	\$130		\$130	
<input type="checkbox"/> OTHER				
SUBTOTAL (3)		\$		\$

TOTAL FILING FEES: \$2202.00A check is enclosed for the total amount: **\$2202.00**☒ Charge any additional fees required under 37 C.F.R. 1.16 or 1.17 to Deposit Account 19-5029.

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Date: 8-27-01